

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	216432US0X DIV
First Inventor or Application Identifier	Kokichi KIKUCHI
Title	PEPTIDE CAPABLE OF INDUCING IMMUNE RESPONSE TO HUMAN GASTRIC CANCER AND AGENT FOR PREVENTING OR TREATING HUMAN GASTRIC CANCER, CONTAINING THE PEPTIDE
Assignee Name:	1. AJINOMOTO CO., INC. 2. Kokichi Kikuchi
Assignee Address:	1. 15-1, Kyobashi 1-chome, Chuo-ku, Tokyo, JAPAN 2. 7-1, Fushimi 3-chome, Chuo-ku, Sapporo-shi, Hokkaido, JAPAN

U.S. PTO
10/038899

01/08/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1 ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2 ☒ Specification Total Sheets **33**
- 3 ☒ Formal Drawing(s)
(35 U.S.C. 113) Total Sheets **4**
- 4 ☒ Oath or Declaration Total Pages **4**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. §1.63(d)(2) and 1.33(b)
- 5 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 6 ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7 ☐ Assignment Papers (cover sheet & document(s))
- 8 ☒ Application Data Sheet. See 37 CFR 1.76
- 9 ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 10 ☐ English Translation Document (if applicable)
- 11 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12 ☒ Preliminary Amendment
- 13 ☒ White Advance Serial No. Postcard
- 14 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15 ☐ Applicant claims small entity status.
See 37 CFR 1.27
- 16 ☒ Other: Request for Priority

17 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/103,808

Prior application information: Examiner: S. Kaushal

Group Art Unit: 1633

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
- of application Serial No. 09/103,808 Filed on June 24, 1998, allowed, which is a divisional of application Serial No. 08/723,116, filed on September 30, 1996, now U.S. Patent 5,837,248.
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Norman F. Oblon	Registration No.	24,618
Signature:		Date	Jan 8 2002
Name:	Stephen G. Baxter, Ph.D.	Registration No.	32,884

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kokichi KIKUCHI, et al.

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: Herewith

FOR: PEPTIDE CAPABLE OF INDUCING IMMUNE RESPONSE TO HUMAN GASTRIC CANCER AND AGENT
FOR PREVENTING OR TREATING HUMAN GASTRIC CANCER. CONTAINING THE PEPTIDE

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/103,808, filed June 24, 1998 and U.S. Application Serial Number 08/723,116, filed September 30, 1996, is claimed pursuant to the provisions of **35 U.S.C. §120**.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of **35 U.S.C. §119(e)**.
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of **35 U.S.C. §119**, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

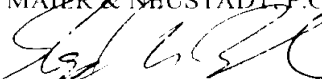
<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	7-253491	09/29/95
JAPAN	8-217140	08/19/96

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☒ were filed in prior application Serial No. 08/723,116 filed September 30, 1996.
- ☐ were submitted to the International Bureau in PCT Application Number
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon
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(OSMMN 10/98)

Docket No. 216432US0X DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kokichi KIKUCHI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PEPTIDE CAPABLE OF INDUCING IMMUNE RESPONSE TO HUMAN GASTRIC CANCER AND
AGENT FOR PREVENTING OR TREATING HUMAN GASTRIC CANCER, CONTAINING THE PEPTIDE

FEE TRANSMITTAL

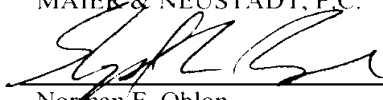
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	7 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$84 =	\$84.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$824.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$824.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$824.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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Stephen G. Baxter, Ph.D.
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Date:

Jan 8, 2002



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